



AST

S.I.R. REQUEST
Navy Case No. 76,736

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jerry H. Ross
Serial No. 09/839,600
Filed: April 23, 2001
For: DIGITAL PREEMPHASIZER FOR
TRANSMISSION OF PCM CODES

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

September 7, 2001

TRANSMITTAL OF MISSING PARTS

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application dated June 7, 2001, transmitted herewith is the signed declaration of sole inventor Jerry H. Ross, and the return copy of Form PTO-1533. As indicated on the accompanying Form PTO/SB/17, kindly charge the one month extension fee of \$110.00, the surcharge fee of \$130.00, and the S.I.R. Request fee of \$920.00 to Deposit Account No. 50-0281, and kindly charge any additional fees due, or credit overpayment of fees to Deposit Account No. 50-0281.

10/16/2001 TV0111 00000002 09839600
03 FC:115 110.00 CH

Respectfully submitted,

John J. Karasek
Reg. No. 36,182
Ph. (202) 404-1552



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,600	04/23/2001	Jerry H. Ross	76,736

CONFIRMATION NO. 1490

FORMALITIES LETTER



OC00000006160143

STOCKSTILL, CHARLES J.
DEPT. OF THE NAVY, OFF. OF GEN. COUN.
NAVAL RES. LAB., CODE 3008.20
4555 OVERLOOK AVE., N.W.
WAHSINGTON, DC 20375

10/16/2001 TV0111 00000001 500281 09839600

01 FC:105 130.00 CH

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/10/2001 SDIRETA1 00000029 500281 09839600

~~01 FC:112 920.00 CH~~
~~02 FC:115 110.00 CH~~
~~03 FC:105 130.00 CH~~

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

10/16/2001 TV0111 00000002 500281 09839600

~~01 FC:101 710.00 CH~~
~~02 FC:104 270.00 CH~~*A copy of this notice **MUST** be returned with the reply.*Adjustment date: 10/16/2001 TV0111
09/10/2001 SDIRETA1 00000029 500281 09839600~~02 FC:115 110.00 CR~~
~~03 FC:105 130.00 CR~~

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Adjustment date: 10/22/2001 TV0111
10/16/2001 TV0111 00000002 500281 09839600~~01 FC:101 710.00 CR~~



FEE TRANSMITTAL for FY 2001

Patent fees are *subject to annual revision.*

TOTAL AMOUNT OF PAYMENT (\$ 1160.00)

Complete if Known

Application Number	09/839,600
Filing Date	April 23, 2001
First Named Inventor	Jerry H. Ross
Examiner Name	not assigned
Group Art Unit	not assigned
Attorney Docket No.	76,736

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0281
Deposit Account Name _____

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
101	710	201	355			Utility filing fee	
106	320	206	160			Design filing fee	
107	490	207	245			Plant filing fee	
108	710	208	355			Reissue filing fee	
114	150	214	75			Provisional filing fee	

SUBTOTAL (1) \$ _____

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20** = _____ X _____	_____	_____
Multiple Dependent	- 3* = _____ X _____	_____	_____

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description
Code (\$)	Code (\$)					
103	18	203	9			Claims in excess of 20
102	80	202	40			Independent claims in excess of 3
104	270	204	135			Multiple dependent claim, if not paid
109	80	209	40			* Reissue independent claims over original patent
110	18	210	9			Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ _____

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
105	130	205	65			Surcharge - late filing fee or oath	130.00
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for ex parte reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	920.00
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	110.00
115	110	215	55			Extension for reply within first month	
116	390	216	195			Extension for reply within second month	
117	890	217	445			Extension for reply within third month	
118	1,390	218	695			Extension for reply within fourth month	
128	1,890	228	945			Extension for reply within fifth month	
119	310	219	155			Notice of Appeal	
120	310	220	155			Filing a brief in support of an appeal	
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,240	241	620			Petition to revive - unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	
143	440	243	220			Design issue fee	
144	600	244	300			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	50	123	50			Petitions related to provisional applications	
126	240	126	240			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify) _____							
Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$ 1160.00)							

SUBMITTED BY

Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182	Telephone	(202) 404-1552
Signature		Date	7 Sept 01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.